

4 INVESTMENT DETAILS (Refer Instruction No.IV) • PLANS, OPTIONS & SUB-OPTIONS (See the Key Features for Scheme specific options & sub-options)

NAME OF THE SCHEME (Please leave one box blank between words)

I	C	I	C	I		P	R	U	D	E	N	T	I	A	L

(Please tick (✓) the appropriate boxes, only if it is applicable to the scheme/plan in which you wish to invest)

Retail Dividend Payout Growth/Cumulative AEP-Regular*
 Institutional Dividend Reinvestment AEP-Appreciation

AEP frequency : _____

Dividend Frequencies: Daily Weekly Fortnightly Monthly Quarterly Half Yearly

Dividend Transfer Plan (DTP) (Please refer to instruction No. IV (f): Scheme Name: _____ Option: _____

PAYMENT DETAILS (LUMP SUM INVESTMENT / FIRST CHEQUE FOR SIP)

Amount Paid (A) Rs. _____ DD Charges (B) Rs. _____ Amount Invested (C) = (A) + (B) Rs. _____

Bank Name & Branch _____

City _____ Cheque/DD No. _____ Cheque/DD Date _____ Account Type (For NRI Investors) NRO NRE FCNR

SUBSEQUENT SIP INSTALMENT DETAILS THROUGH PDCS

Cheque Number From _____ Cheque Number To _____ Number of Cheques _____ Installment Amount Rs. _____

SIP Date 7th 10th 15th 25th

Start From _____ End to _____

M M Y Y Y Y M M Y Y Y Y Drawn on Bank & Branch _____

SIP through
 Standing Instruction/Direct Debit
 ECS PDCs
 SIP Frequency Monthly Quarterly (Default is Monthly)
 SIP TOP UP (Optional)
 TOP UP Amount*: _____ Rs.
 *TOP UP amount has to be in multiples of Rs.500 only.
 TOP UP Frequency (Mandatory): Half Yearly Yearly
 [In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP. Please refer to the instruction V(k)]

*Cumulative – AEP Regular Option : Encashment of Units is subject to declaration of dividend in the respective Scheme(s). Please refer to instruction no. IV(c).

Trigger Please (✓) (Trigger can be done only from ICICI Prudential Target Returns Fund – Growth sub-option)

NAV appreciation (Please ✓) 12% 20% 50% 100% Trigger Amount Appreciation amount only All units

Amounts/units to be triggered to Retail Option under (Please ✓): ICICI Prudential Floating Rate Plan – Plan A Plan B
 ICICI Prudential Liquid Plan ICICI Prudential Short Term Plan ICICI Prudential Income Plan
 ICICI Prudential Flexible Income Plan

Sub-options (Please ✓): Growth AEP* - Appreciation Regular
 Growth/Cumulative Dividend Pay-out Dividend Reinvestment

Default Trigger Options: NAV appreciation: 20%; Scheme: ICICI Prudential Liquid Plan - Growth Option. *Cumulative - AEP Regular option: Encashment of units is subject to declaration of dividend into respective scheme(s).

Micro SIPs Please (✓) (Investment of equal to or less than Rs.50,000/- per annum under SIP registration) (Please refer instruction No. V(l))

	Photo Identification Document Type (Mandatory) (Only in case of PAN not provided)	ID Card No. / Reference No.
1st Applicant		
2nd Applicant		
3rd Applicant		

5 NOMINATION DETAILS (Optional) • For Single nomination, please fill in the details below. • For multiple nominations, please use the form available separately.

I/We hereby nominate the undermentioned Nominee to receive the amounts to my/our credit in event of my/our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.

Name of the Nominee _____ Date of Birth (If nominee is minor) _____

Mr. Ms. M/s. _____ D D M M Y Y Y Y

Address of Nominee (Please provide full address) _____ PIN Code _____

Name of the Guardian (If nominee is minor) - Mandatory _____ Relationship with minor _____

Address of Guardian _____ PIN Code _____ Signature of Guardian _____

6 YOUR CONFIRMATION/DECLARATION

The Trustee, ICICI Prudential Mutual Fund, I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme(s). I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd. (the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I am/we are not US Person(s). I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 6666 (Others).

DD MM YYYY

SIGNATURE(S)

First Applicant _____

Second Applicant _____

Third Applicant _____

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

SIP TOP UP Amount Rs. _____ Frequency: Half Yearly Yearly

Cheque/DD No. _____ Dated _____ Amount (Rs.) _____

FOLIO No.: _____ Drawn on (Name of Bank & Branch) _____

Note: All future communications in connection with this application should be addressed to the nearest ICICI Prudential Customer Service Centre, quoting full name of the first applicant, the application serial number, the name of the scheme, the amount invested, date and the place of the Customer Service Centre where application was lodged.

ICICI Prudential Child Care Plan

(Please read the instructions before investing)

Application Form for Resident Indians and NRIs (Minor Applicants Only)

• Lump sum Investment • Systematic Investment Plan (SIP).
SIP payment options: Bank Standing Instruction • Auto Debit (ECS) • Post Dated Cheques



(Application to be filled in BLOCK LETTERS in ENGLISH only.)

Broker Code ARN-0032	Sub-broker Code	Serial Number, Date & Time of Receipt
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.		

1 FOR EXISTING UNITHOLDERS [Refer to Instruction II(a)]

Folio No. /

Application No. _____

If you have an existing folio with PAN validation & KYC validation (if applicable), please mention the folio number in the space provided and proceed to Step 4.

2 ABOUT YOU

Date: _____

Name of the Investor (Beneficiary Child)

Date of Birth (Mandatory)

Master Ms.

Name of Parent/Legal Guardian

Date of Birth (Mandatory)

Mr. Ms.

Name of the Applicant (if different from Parent/Legal Guardian)

Date of Birth

Mailing Address (Please provide full address)

City (Mandatory)										PIN (Mandatory)									
State (Mandatory)										Country									

Contact Details

Tel. (Res.)	Tel. (Off.)	Mobile
E-Mail		

Communication: As a part of the **Go Green** initiative, Account Statement/Annual Report/other statutory information will be sent only by email to the above mentioned email ID.
 Please tick (✓) if you wish to receive Account Statement/Annual Report/other statutory information via physical documents instead of email.

Overseas Address (in case of NRIs/FILs)

City (Mandatory)										ZIP (Mandatory)									
State										Country (Mandatory)									

PAN & KYC [Please refer the instruction Nos.II-b(4), V(h), XI] (Mandatory)

Status [Please tick (✓)]

Parent's/Guardian's Occupation [Please tick (✓)]

PAN* (Please attach proof)	Know Your Customer (KYC) (Please ✓) <input type="checkbox"/> KYC acknowledgement / Copy enclosed	<input type="checkbox"/> NRI/Non-Repatriable <input type="checkbox"/> Resident Indian <input type="checkbox"/> Others (Specify)	<input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify)	<input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Student <input type="checkbox"/> Retired
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* Since the applicant is minor, Guardian's/Parent's PAN & KYC details have to be provided.

3 BANK ACCOUNT DETAILS OF THE APPLICANT (Refer instruction No.III)

MANDATORY	Bank Particulars (Name of the Bank)	<input type="text"/>									
	Branch Address	<input type="text"/>									
	Account Number	<input type="text"/>									
	Account Type	<input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE									
	9 Digit MICR code	<input type="text"/>									
	IFSC Code (11 digit)	<input type="text"/>									
If "Mandatory Details" are not provided, your application is liable to be rejected.											

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor) – ICICI Prudential Child Care Plan

Application No. _____



FOLIO NO. _____
Received from Mr./Ms./M/s. _____
Address _____

Plans (Please tick)	Amount (Rs.) (A)	D.D. Charges (Rs.) (B)	Amount Paid (Rs.) (C) = (A) + (B)	Cheque/D.D. No. and Date	Drawn on (Bank Name and Branch)
<input type="checkbox"/> Study Plan					
<input type="checkbox"/> Gift Plan					

Signature, Stamp & Date

TERMS AND CONDITIONS

A) SIP Payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

List Cities for SIP Auto Debit via ECS (Debit Clearing)

• Agra • Ahmedabad • Allahabad • Amritsar • Anand • Asansol • Aurangabad • Bangalore • Baroda • Belgaum • Bhilwara • Bhopal • Bhubaneswar • Bijapur • Bikaner • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangere • Dehradun • Delhi • Dhanbad • Durgapur • Erode • Gadag • Gangtok • Gorakhpur • Gulbarga • Guwahati • Gwalior • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • Panjim • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Siliguri • Solapur • Surat • Tirupati • Tirupur • Trichur • Trichy • Trivandrum • Udaipur • Udupi • Varanasi • Vijayawada (also covers Guntur, Tenali & Mangalgi) • Vishakhapatnam.

1. This facility is offered to the investors having bank accounts **in the select cities mentioned above**. The cities in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of ICICI Prudential Mutual Fund without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
2. The bank account provided for ECS (Debit) should participate in local MICR clearing.
3. SIP auto debit is available only on specific dates of the month viz. 7th/10th/15th/25th. In case 7th/10th/15th/25th is a holiday, then next business day. In case the Auto Debit does not take effect for three consecutive times then the SIP would be liable for cancellation.
4. Investors subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP application.
The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received **30 days prior to the subsequent SIP date**.
5. The investor agrees to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI).
6. Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for ECS.
7. ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason thereof.
8. In case of "At Par" cheques, investors need to mentioned the MICR number of his actual bank branch.

B) SIP Payment through Standing Instruction/Direct Debit Facility

1. Standing Instruction/Direct Debit facility is offered to the investors having Bank Account with:

Nature of facility	Banks
Standing instruction	Axis Bank, HDFC Bank & ICICI Bank.
Direct debit	IDBI Bank, Indusind Bank & Kotak Mahindra Bank.
Direct debit (Only Core Banking branches*)	Bank of Baroda, Bank of India, Punjab National Bank & Union Bank of India.

* Please contact your local bank branch to confirm if it offers core banking facility.

2. The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received 30 days prior to the subsequent SIP date.
3. Standing Instructions incomplete in any respect are liable to be rejected.
4. SIP is liable for cancellation if direct debit fails for three consecutive times.
5. The Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

C) General Instructions

1. Existing investors need to provide their folio number in this Standing Instruction or the Auto Debit form and need not to fill in the Common Application Form.
For minimum application amount to be invested in SIP, risk factors, features etc. please refer to page nos. 14-27 of the Key Information Memorandum.
2. If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
3. ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
4. For load structure of the schemes, please refer to the Key Features on page nos. 14-27.
5. In case of SIP with payment mode as ECS/Auto Debit, investors shall be required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided.
6. **SIP TOP UP Facility:**
 - (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals.
 - (b) The TOP UP amount has to be in multiples of Rs.500 only.
 - (c) The frequency is fixed at Yearly and Half Yearly basis. In case the frequency is not selected, the TOP UP facility may not be registered.
 - (d) In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.
7. The investor hereby agrees to indemnify and not hold responsible, the AMC and its employees, the R&T agent and the service providers in case his/her bank is not able to effect any of the payment instructions for whatsoever reason.

INSTRUCTIONS FOR FILLING UP THE COMMON APPLICATION FORM

I. GENERAL INSTRUCTIONS

- The application form is for Resident Investors/NRIs/FIIs and should be completed in English in **BLOCK** Letters.
- The signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Applications by minors should be signed by their guardians. In case of HUF, the Karta should sign on behalf of the HUF.

Signature mismatch cases: While processing the redemption / switch out request in case the AMC / Registrar come across a signature mismatch, then the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving licence etc.) confirming the identity of the investors. List of such documents may be notified by AMC from time to time on its website.

- If you are a new investor and wish to apply for SIP through Auto Debit by way of Electronic Clearing Service (ECS) or Standing Instructions to your bank account you need to fill in the respective Form in addition to the Common Application Form.
- The investors who wish to avail Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) facilities must use separate transaction forms available in any of the ICICI Prudential Mutual Fund Customer Service Centres.
- The application form number, the scheme name and the name of the applicant should be mentioned on the reverse side of the instrument that accompanies the application.
- The Application completed in all respects along with the cheque/demand draft must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or demand draft for the amount payable are liable to be rejected and the money paid will be refunded without interest.
- No receipt will be issued for the application money. The Customer Service Centers will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application.
- Overwriting on application forms/transaction slips:** In case of corrections/overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips in case the investor(s) has(ve) not countersigned in each place(s) where such corrections/overwriting has(ve) been made.

II. UNITHOLDERS INFORMATION

a) Existing Unitholders

If you have an existing folio with PAN validation & KYC validation (if applicable), please mention the Folio Number in **Step 1** and proceed to **Step 4** in the application form. Please note that the applicable details and mode of holding will be as per the existing folio.

b) New Applicant

- Name and address must be given in full (P.O. Box Address is not sufficient). In case of NRI/PIO/FII investors an overseas address must be provided.
- Name of guardian must be mentioned if the investments are being made on behalf of a minor. Date of Birth is mandatory in case of minor.

Investments of the existing minor investor on minor attaining majority: Upon attaining majority, a minor has to write to the fund, giving his specimen signature duly authenticated by his banker as well his new bank mandate, PAN details, UIN details (if applicable as per prevalent SEBI Guidelines) in order to facilitate the Fund to update its records and permit the erstwhile minor to operate the account in his own right.

- In case of an application under Power of Attorney or by a limited company, body corporate, registered society, trust or partnership, etc. the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association / bye-laws must be lodged along with the application form.

Power of Attorney (POA): In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc. under his folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document to be accepted as a valid document. At the time of making redemption / switches the fund would not be in a position to process the transaction unless, POA holder's signature is available in the POA or proof of identity alongwith signature is produced along with the POA.

- PAN is mandatory:** As per SEBI Circular MRD/Dop/Cir/05/2007 dated April 27, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. July 02, 2007. PAN is mandatory for all mutual fund investments w.e.f. 1st January, 2008. Accordingly, any application not accompanied with the PAN is liable to be rejected. Please note that the PAN copy needs to be attested by any of the following: (a) At the Mutual Fund office (verification with original to be done by the person accepting the documents) (b) Your Financial Advisor (c) Your Bank Manager (d) Gazetted Officer (e) Notarized Copy (f) Judicial Authority.
- Applicants should indicate their status by ticking the appropriate box. Applications without a tick in the 'Status' box will be considered as investment by "Others". Those who select the status as "Others", they should specify their status in the space provided.
- Applicants should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor". In the case of joint holders, the first named holder shall receive all the Account Statements, dividend/redemption/refund warrants and any other correspondence sent from time to time.
- Name of a contact person should be mentioned in case of the investment by a Company/Body Corporate/Partnership Firm/Trust/Foreign Institutional Investors (FIIs)/Society/AOP/BOI.
- Transactions without Scheme/Option Name:** In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs with the name on the Cheque/Demand Draft, then the AMC will allot units under the Scheme mentioned on the payment instrument. In case of fresh/additional purchases, if the Scheme name is not mentioned on the application form/transaction slip, then the units will be allotted under

the Scheme mentioned on the Cheque/Demand Draft. The Plan/Option that will be considered in such cases if not specified by the customer will be the default option of the Scheme as per the Scheme Information Document. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the right to allot units in the option under which units were allotted at the time of fresh purchase.

III. BANK DETAILS

The first Unitholder should provide the name of the bank branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular IIMRP/MF/CIR/07/826/98 dated April 15, 1998. Applications without this information will be deemed to be incomplete.

Please quote 9 Digit Code No. of your Bank and Branch corresponding to Bank Account details. (This number appears on every leaf of your cheque book after your cheque number). Please attach a blank "cancelled" Cheque or a clear photocopy of a cheque issued by your bank verifying of the Code No. The AMC reserves the right to make dividend/redemption payments through ECS/NFT/RTGS where details is available.

IV. INVESTMENT DETAILS

- Investor has to fill a separate form for each scheme that he wishes to invest in.
- Investor should select only one option (growth/dividend) and corresponding sub-option (e.g. Reinvest/Payout/Dividend Transfer Plan in case of Dividend option) under the scheme(s) wherever applicable by ticking the appropriate box.
- Investor opting for AEP option (under Income Plan & Monthly Income Plan) are requested to choose either the AEP-Regular option or the Appreciation Option. Investor has the option of selecting either Monthly/Quarterly/Half Yearly sub option under the Appreciation Option.

In case investor has selected multiple options under AEP, the default option would AEP Regular option, and the default sub option under Appreciation Option would be Monthly sub option.

- In case, the investor has not selected the option/sub-option for his investments, default option/sub-option as prescribed in the offer document of the relevant scheme will be applied.
- For minimum application amount, please refer to table given on page 14-27.

f) Dividend Transfer Plan:

- An investor can avail this facility where by dividend declared will be automatically invested into any open-ended schemes of ICICI Prudential Mutual Fund.
- The amount to the extent of distribution, will be automatically invested on the ex-dividend date into the scheme selected by the investor at the NAV of that scheme.
- This facility cannot be availed under Daily Dividend Plans and Weekly Dividend Plans.

V. SYSTEMATIC INVESTMENT PLAN (SIP)

- For SIP facility, please refer to the **Key Scheme Features** on page nos. 14-27. And also refer Instruction No.VI(a) for mode of payment for SIP through PDCs.
- Investors opting for SIP need to fill the Common Application Form and tick any of the payment option such as (i) **SIP payment through Bank Standing Instruction/Direct Debit** (ii) **Auto Debit by way of Electronic Clearing Service (ECS)** (iii) **Post Dated Cheques (PDCs)**. If the payment option is Auto Debit through ECS or Standing Instruction to the Bank, investors also need to fill the respective form.
- The Second SIP installment amount and the subsequent SIP installment amount should be of the same amount. However, the First SIP installment need not be of the same amount as Second and Subsequent SIP installments amount. This is applicable to investors opting for SIP through PDCs.**
- In case of SIP transaction where, the mode of payment is through Standing Instruction/Auto Debit facility (offered by select banks) or ECS, investors are not required to do an initial purchase transaction for the minimum amount as applicable. **However, investors are required to submit SIP request at least 30 days prior to the date of first installment.**
- Investors subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP application.
- In case of SIP with payment mode as ECS/Auto Debit, investors shall be required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided.
- For entry and exit load structure under the Schemes, please refer to the Key Scheme Features on page nos. 14-27.
- Issue post dated cheques dated either 7th or 10th or 15th or 25th of the month. A credit confirmation will be sent to the unitholder indicating the new balance to his or her credit in the account.

1) For cheques drawn from locations as determined by ICICI Prudential Asset Management Company Ltd. (the AMC) from time to time and notified on its website www.icicipruamc.com

Option 1: The First SIP installment should be paid through a Demand Draft payable at places where the Official Points of Acceptance of Transaction of the AMC are located. All the other installments should be through post-dated cheques for the SIP dates i.e. 7th or 10th or 15th or 25th of the month.

The SIP date selected by an Investor should fall at least 20 calendar days after the date of first SIP installment. *Eg:* if the first SIP installment is on January 09, 2007, then the SIP date that can be selected by an investor for the second and subsequent installments should fall after January 29, 2007.

Option 2: In case all the installments (i.e. including the first Installment) are paid through post-dated cheques, the SIP forms along with the post-dated cheques should be submitted at the Official Points of Acceptance of Transactions of the AMC at least 20 calendar days before the start of the SIP Post-dated cheques for all the installments (i.e. including the first Installment) should be dated the SIP dates only i.e. 7th or 10th or 15th or 25th of the month.

2. For all SIP applications with Post Dated Cheques

The SIP date selected by an Investor should fall at least 20 calendar days after the date of first SIP installment. *Eg:* if the first SIP installment is on

INSTRUCTIONS FOR FILLING UP THE COMMON APPLICATION FORM (Contd.)

January 09, 2007, then the SIP date that can be selected by an investor for the second and subsequent installments should fall after January 29, 2007.

- i) SIP Auto Debit via ECS (Debit Clearing) is available at the following 82 centres:
- Agra • Ahmedabad • Allahabad • Amritsar • Anand • Asansol • Aurangabad • Bangalore • Baroda • Belgum • Bhillwara • Bhopal • Bhubaneshwar • Bijnor • Bikaner • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangere • Dehradun • Delhi • Dhanbad • Durgapur • Erode • Gadag • Gangtok • Gorakhpur • Gulbarga • Guwahati • Gwalior • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • Panjim • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Siliguri • Solapur • Surat • Tirupati • Tirupur • Trichur • Trichy • Trivandrum • Udaipur • Udipi • Varanasi • Vijayawada (also covers Guntur, Tenali & Mangalagiri) • Vishakhapatnam.
- j) Existing investors opting for SIP need to provide their Folio Number along with SIP details.
- k) **SIP TOP UP Facility:** (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals. (b) The TOP UP amount has to be in multiples of Rs.500 only. (c) The frequency is fixed at Yearly and Half Yearly basis. In case the frequency is not selected, the TOP UP facility may not be registered. (d) In case of Quarterly SIP only Yearly frequency is available under SIP TOP UP.

- l) **MICRO SIP:** Systematic Investment Plans (SIPs) where aggregate of installments in a rolling 12 month period or in a financial year i.e. April to March does not exceed Rs 50,000 (to be referred as "Micro SIP" hereinafter).

Micro SIP investors are required to submit any of the following PHOTO IDENTIFICATION documents along with Micro SIP applications as a proof of identification if the PAN is not provided:

- (1) **Voter Identity Card;** (2) **Driving License;** (3) **Government/Defense identification card;** (4) **Passport;** (5) **Photo Ration Card;** (6) **Photo Debit Card (Credit card not included because it may not be backed up by a bank account)** (7) **Employee ID cards issued by companies registered with Registrar of Companies** (database available in the following link of Ministry of Company affairs <http://www.mca.gov.in/DCAPortalWeb/dca/MyMCALogin.do?method=setDefaultProperty&mode=31>); (8) **Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament;** (9) **ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks;** (10) **Senior Citizen / Freedom Fighter ID card issued by Government;** (11) **Cards issued by Universities / deemed Universities or institutes under statutes like ICAL, ICWA, ICSI;** (12) **Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL);** (13) **Any other photo ID card issued by Central Government/State Governments/Municipal authorities/Government organizations like ESIC/EPFO.**

Investors (including joint holders) will submit a photocopy of any one of the above documents identified along with Micro SIP applications.

Supporting document should be current and valid and copy shall be self attested by the investor/attested by the ARN holder mentioning the ARN.

Micro SIP application without the supporting document will liable to be rejected.

The following transactions will not be covered as they are currently not considered either for PAN or KYC requirement: (1) Redemption (2) Switch (3) Systematic Transfer Plans (4) Systematic Withdrawal Plans (5) Dividend Reinvestments / Sweep transactions.

This exemption will also not be applicable to normal purchase transactions upto Rs. 50,000 which will continue to be subject to PAN requirement.

This exemption will be applicable ONLY to investments by individuals (including NRIs but not PIOs), Minors, Sole proprietary firms and Joint holders. HUFs and other categories will not be eligible for Micro SIPs.

In case the first Micro SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future installments. No refunds to be made for the units already allotted. Investor will be sent a communication to this effect. However, redemptions shall be allowed.

VI. MODE OF PAYMENT

- a) The cheque/demand draft should be drawn in favour of "ICICI Prudential Liquid Plan" or "ICICI Prudential Sweep Plan" or "ICICI Prudential Income Plan" or "ICICI Prudential Short Term Plan" or "ICICI Prudential Long Term Plan" or "ICICI Prudential Long Term Floating Rate Plan" or "ICICI Prudential Floating Rate Plan" or "ICICI Prudential Flexible Income Plan" or "ICICI Prudential Gilt Fund – Treasury Plan" or "ICICI Prudential Gilt Fund – Investment Plan" or "ICICI Prudential Gilt Fund – Treasury Plan - PF Option" or "ICICI Prudential Gilt Fund – Investment Plan - PF Option" or "ICICI Prudential Banking & PSU Debt Fund" or "ICICI Prudential Ultra Short Term Plan" or "ICICI Prudential Medium Term Plan" or "ICICI Prudential Income Opportunities Fund" or "ICICI Prudential Child Care Plan - Gift Plan" or "ICICI Prudential Child Care Plan - Study Plan" or "ICICI Prudential Monthly Income Plan" or "ICICI Prudential Income Multiplier Fund" or "ICICI Prudential Balanced Fund" or "ICICI Prudential Tax Plan" or "ICICI Prudential FMCG Fund" or "ICICI Prudential Growth Plan" or "ICICI Prudential Technology Fund" or "ICICI Prudential Power" or "ICICI Prudential Dynamic Plan" or "ICICI Prudential Discovery Fund" or "ICICI Prudential Emerging S.T.A.R. Fund" or "ICICI Prudential Infrastructure Fund" or "ICICI Prudential Services Industries Fund" or "ICICI Prudential Index Fund" or "ICICI Prudential Indo Asia Equity Fund" or "ICICI Prudential Focused Bluechip Equity Fund" or "ICICI Prudential Equity & Derivatives Fund – Income Optimiser Plan" or "ICICI Prudential Equity & Derivatives Fund – Wealth Optimiser Plan" or "ICICI Prudential Banking & Financial Services Fund" or "ICICI Prudential Target Returns Fund" or "ICICI Prudential Equity Opportunities Fund", as the case may be and crossed "Account Payee Only".

The cheque / demand draft should be payable at the centre where the application is lodged. The cheque / demand draft should be drawn on any bank which is situated at and is a member / sub-member of the Bankers' Clearing House. Cheques/ demand drafts drawn on a bank not participating in the Clearing House will not be accepted.

- b) Separate Cheque/Draft is required for each scheme invested.

- c) Payments by Stockinvests, cash, postal orders, money orders and outstation cheques will not be accepted.

- d) The Fund will bear the demand draft charges subject to maximum of Rs. 50,000/- per transaction for purchase of units by investors residing at location where the Asset Management Company (AMC's) Customer Service Centers/Collection Centers are not located as mentioned in the table below:

Amount of Investment	Rate of Charges of Demand Draft(s)
Upto Rs. 10,000/-	At actual, subject to a maximum of Rs. 50/-
Above Rs. 10,000/-	Rs. 3/- per Rs. 1000/-
Maximum Charges	Rs. 50,000/-

However, the demand draft charges will not be reimbursed for ICICI Prudential Liquid Fund, ICICI Prudential Short Term Plan, Prudential ICIC Gilt Fund – Treasury Plan and ICICI Prudential Floating Rate Plan.

AMC reserves the right to refuse the reimbursement of demand draft charges, in case of investments made by the same applicant(s) through multiple applications at its own discretion which will be final and binding on the investor. Investors residing at places other than where the AMC Customer Service Centers/ Collection Centers are located, are requested to make the payment by way of demand draft(s) after deducting bank charges as per the rates indicated in the above table. It may be noted that additional charges, if any, incurred by the investor over and above the levels indicated above will not be borne by the Fund.

No demand draft charges will be reimbursed by the Fund for purchase of Units by investors residing at such locations where the Customer Service Centers/ Collection Centers of the AMC are located.

e) NRI/FII/PIO Investors

1. **Repatriation basis:** Payments by NRIs / FIIs / Persons of Indian Origin residing abroad, may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels; or (ii) out of funds held in NRE/FCNR account payable at par and payable at the cities where the Customer Service Centres are located. In case of Indian Rupee drafts purchased or cheques issued from NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed. In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.
2. **Non Repatriation basis:** NRIs or people of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques/demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centres are located.

VII. NOMINATION

1. The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form. Space is provided as a specimen, if there are more joint holders more sheets can be added for signatures of holders of units and witnesses.
2. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
3. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
4. Nomination in respect of the units stands rescinded upon the transfer of units.
5. Transfer of units in favour of a Nominee shall be valid discharge by the asset management company against the legal heir.
6. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
7. On cancellation of the nomination, the nomination shall stand rescinded and the asset management company shall not be under any obligation to transfer the units in favour of the Nominee."
8. Investors who want to make multiple nominations should give a separate request to the AMC.

VIII. DIRECT CREDIT OF DIVIDEND/REDEMPTION: ICICI Prudential AMC had entered into an arrangement with certain banks such as Citibank N.A., HDFC Bank, HSBC and ICICI Bank for direct credit of redemption and dividend proceeds, if investors have a bank mandate with any of these banks. However, the Fund reserves a right to issue a payment instrument in place of this direct credit facility, and will not be responsible for any delay on the part of the bank for executing the direct credit. The AMC may alter the list of the banks participating in direct credit arrangement from time to time/ withdraw direct credit facility from the banks, based on its experience of dealing with any of such banks or add / withdraw the name of the bank with whom direct credit facility arrangements can be introduced/ discontinued as the case may be.

IX. E-MAIL COMMUNICATION: Delivering service through web/e-mail is a more efficient delivery channel. When an investor has communicated his/her e-mail address and has provided consent for sending communication only through email, the Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The Investor shall from time to time intimate the Mutual Fund/its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, investor always has a right to demand a physical copy of any or all the service deliverable and Fund would arrange to send the same to the investor. Account statements will be sent via email by default to investors who have provided their email ID, unless specified otherwise. It is deemed that the Unitholder is aware of all the security risks associated with online communication, including possible third party interception of the documents sent via email.

X. KNOW YOUR CUSTOMER (KYC) NORMS: With effect from February 1, 2008 Investors in Mutual Funds investing Rs. 50,000/- and above are required to comply with Know Your Client (KYC) norms under the Prevention of Money Laundering Act 2002 (PMLA). Copy of KYC acknowledgement is mandatory. Please refer AMFI's or AMC's website (www.amfiindia.com or www.icicipruamc.com) for details.

SCHOLARSHIPS UNDER ICICI PRUDENTIAL CHILD CARE PLAN

Scholarship Scheme for Meritorious Students under the aegis of ICICI Prudential Young Students Education Trust:

For the benefit of the Unitholders under the Plans of the Scheme, the AMC has instituted a Scholarship Scheme. For this purpose, the AMC has set up a Trust under the aegis of the ICICI Prudential Young Students Education Trust (Scholarship Trust) with an initial corpus of Rs 500,000 from out of the resources of the AMC. AMC contributes each year, commencing from the second year of setting up of the above Trust, from out of AMC's profits an amount equivalent to 0.10% of average net assets of the Plans under this Scheme. The Scholarship Scheme is being administered by an eminent Board of Trustees (Trustees for Scholarship Scheme or Trustees). Under this Scholarship Scheme the eligible selected students will avail of financial assistance to defray a part of the expenses to be incurred by them/their parents/lawful guardian, on tuition fees, cost of books, cost of hostel fees (in case of students residing in the hostels), cost of travel abroad (in case of students securing admissions in accredited and recognized colleges/Universities abroad) and such other expenses which a student is required to bear as per the terms of admission applicable to the course/program to which he/she secured admission. It is proposed to make available the scholarships of not over Rs.100,000 each (to be paid in one installment or such other number of installments as the Trustees may decide) to such students who secured admission for pursuing graduate/post graduate programs in the disciplines of Engineering/ Management/ Accounting/ Law/ Medicine/ Life/ Physical/ Biological sciences/ Mathematics/ Liberal Arts etc. and such other core disciplines which the Trustees for Scholarship Scheme feel appropriate. The Trustees for the above purpose will invite applications through an advertisement and by sending a communication through newsletter to all the applicants under the Scheme. The advertisement/ communication will clearly specify the eligibility criteria, including the minimum cut off marks required for the preliminary screening of the applications. Based on the shortlist prepared in the preliminary screening, the Trustees may conduct/ hold a written test/interview (or both) and prepare a final list of candidates for participating in the scholarship scheme. Scholarships will be awarded to the eligible selected students based on the criteria laid down by the Trustees of the above Trust for Scholarship Scheme.

The scholarships are being awarded commencing from one year after the date of the allotment of Units under ICICI Prudential Child Care Plan.

As the Scholarship Scheme is primarily meant for the benefit of the Unitholder, the Trustee (to ICICI Prudential Mutual Fund), in consultation of the Trustees for Scholarship Scheme may impose such additional conditions, as deemed necessary, in regard to the term of investment holding of the Applicant in the Fund. Such additional terms and conditions if stipulated will only be applicable to the Unitholders who receive Scholarships under the Scholarship Scheme detailed above.

Contributions by AMC towards corpus of Scholarship Scheme:

As AMC intends to contribute each year out of its profits an amount equivalent to 0.10% of average net assets of the Plans under this Scheme to the Scholarship Trust to institute a Scholarship Scheme for the benefit of the Unitholders under the Plans, certain disclosure are being provided hereinbelow as prescribed by SEBI:

Details of Profit/(Loss) after Tax of AMC:

(in Rupees '000)

	31 st March 2006	31 st March 2007	31 st March 2008	31 st March 2009	31 st March 2010
Profit/(Loss) after Tax	311,258	483,788	821,046	7,110	1,280,255

In the future years, if the AMC makes a loss, then the contributions to the corpus of the Scholarship Trust will be made by the AMC from its Share Capital and Free Reserves, after ensuring that the AMC complies with the net-worth requirements in terms of the Regulations.

Personal Accident Insurance cover for the Resident Applicants :

The Fund has been providing Personal Accident Insurance cover to the Resident Applicants under the Scheme from the date of allotment of Units. The AMC has negotiated with ICICI Lombard General Insurance Co. Ltd. the provisions of such a cover under a Group Insurance Policy. The following are the broad features of the insurance cover. The Applicants/ Unitholders should note that complete details of insurance cover would be advised to them along with the first Account Statements to be sent to them.

Details of Personal Accident Insurance cover:

Parent/ Legal Guardian of Unitholder (as specified in the Application Form), resident in India, who has applied for the Units in the name of the Beneficiary Child will be entitled for Personal Accident Insurance cover, on allotment of the Units so applied for. In case of Corporate / other non-individual legal entities and juridical persons who are the Applicants under the Scheme, the insurance cover will be available to the Parent/Legal Guardian of the Beneficiary Child (Unitholder) whose name is stated in the Application form. For this purpose, Group Insurance Policy has been obtained from ICICI Lombard General Insurance Co. Ltd. The Group Policy, subject to its various terms and conditions, is extended to the Applicants under the Plans accident insurance cover for death by accident or Permanent Total Disability sustained due to accident. The said insurance cover will become operative from the date of allotment of Units under the Plans till the Unit holder (Beneficiary Child) attains 18 years of age or the investment under the Plans is redeemed, whichever ever event occurs earlier. An Applicant not resident in India ("Non-resident Applicant") will not be covered under the accident insurance cover. **Under the above Policy, the insurance cover that will be available to the Applicant will, for the present, be equivalent to 10 times the value of the Units (valued at the purchase price) outstanding against the name of the Unit holder subject to a maximum limit of Rs.5 lakhs per Unitholder.** The said limit for the insurance cover may be changed by the Trustee by giving at least one month advance notice to all the Unitholders under the Scheme. Such notice will be given by suitable display at the Investor Service Centres, communication through the newsletter of the AMC, providing

addendum to the offer document and by a display on the website of AMC. The Unitholders will also be informed about the cost implication consequent to the change in the limit of the insurance cover. The insurance cost together with other recurring expenses shall be within the overall limits prescribed under the Regulations. The changes in the limit for the insurance cover as stated above will be applicable to all new claims to be received by ICICI Lombard General Insurance Co. Ltd., after the date on which the revision in the maximum limit is effected.

The insurance premium in respect of this cover will be charged to the Scheme.

In the case of an accident resulting in death or permanent total disability of the Resident Applicant, the Applicant or his/her legal representatives may file the claim directly with the designated branch of the ICICI Lombard General Insurance Co. Ltd. supported by all relevant documents as required by the Insurer and the payment of the claim may be made to the said Applicant/ legal representatives by the insurance company. All insurance claims will be settled in India and shall be payable in Indian Rupees only. Detailed guidelines/ procedure relating to Personal Accident Insurance will be advised along with the Account Statement.

The Trustee, the AMC, the Mutual Fund or their Directors, or their employees shall not be liable for any claims (including but not limited to rejection of any claim, non settlement, delays etc.) arising out of the insurance cover provided to the Applicant.

Subject to what has been stated above, the AMC reserves a right to modify / annul the said insurance cover on a prospective basis. The AMC also reserves the right to change the insurance company from time to time.

The cost of obtaining the insurance cover as indicated under the Recurring Expenses is indicative and may change from year to year.

Under the above Policy, the insurance cover that will be available to the Applicant will, for the present, be equivalent to 10 times the value of the Units (valued at the purchase price) outstanding against the name of the Unit holder subject to a maximum limit of Rs.5 lakhs per Unitholder.

As stated earlier, the AMC has negotiated with ICICI Lombard General Insurance Co. Ltd. the provisions of such a cover under a Group Insurance Policy. NIA has provided certain standard exclusions that would be applicable to the said insurance cover and the same are as follows:

- (1) The Personal Accident Insurance Cover will not be available for an Applicant who has completed 70 years.
- (2) The Personal Accident Insurance Cover will not be available in case of natural death of an Applicant.
- (3) Pregnancy Exclusion: The Personal Accident Insurance Cover shall not extend to cover death or disablement resulting directly or indirectly caused by, contributed to or aggravated or prolonged by childbirth or from pregnancy or in consequence thereof.
- (4) War Exclusion: The Personal Accident Insurance Cover shall not extend to cover death or disablement due to or arising out of or directly or indirectly connected with or traceable to War, Invasion, Act of foreign enemy, Hostilities, civil war, Rebellion, Revolution, Insurrection, Mutiny, Military or Usurped Power Seizure, Capture, Arrests, Restraints and Detainment of all.
- (5) Nuclear Exclusion: The Personal Accident Insurance Cover shall not extend to cover death or disablement, injury or disease directly or indirectly caused by or contributed to by or arising from ionising radiation or contamination by radioactivity from any nuclear fuel or from any nuclear waste from combustion of nuclear fuel including combustion resulting from any self-sustaining process of nuclear fission.

It may be noted that the complete details of insurance cover would be advised to Applicants/ Unitholders along with the first Account Statements to be sent to them.

The availability of the insurance cover per folio is explained by way of an illustration as follows:

For an Applicant who makes an initial investment of Rs. 20,000 the insurance cover available will be as follows:

The available insurance cover would be 10 times investment subject to a maximum of Rs. 5,00,000. In the present illustration, the amount of cover would be Rs. 2,00,000 (Ten times the investment of Rs. 20,000). Let us say that this Applicant makes an additional investment of Rs. 50,000. The additional amount of insurance cover available to the Applicant will be Rs. 3,00,000, being the balance additional cover available, which taken with the then existing cover aggregates to Rs. 5,00,000.

Further, the insurance cover at any point will be valued based on the purchase price of the Units. For example, if A subscribed to the 1000 Units at NAV of Rs. 10 under folio X of the Plan on 1st January 2001, then the Personal Accident cover available to A under folio X will be for Rs. 1,00,000. Subsequently, assuming that the NAV moved up to Rs. 15 and the said investor redeemed Rs. 12,000 worth of Units, the redemption translates to 800 Units, out of 1,000 Units originally subscribed to. The insurance cover to be cancelled works out to Rs. 80,000 (being 8/10th of Rs. 1,00,000) and the balance cover available to A under folio X will be Rs. 20,000.

Note: Please note that the details mentioned in the above illustration are only to explain how the insurance cover is calculated. The NAVs used in the above illustration are hypothetical.

Assignment Clause

The assignee of the insurance policy of the Parent/Legal Guardian need to have attained the age of majority as on the date of investment in the Scheme. A minor cannot be appointed as an assignee for the insurance policy.

Birth date of the Unitholder and Parent/Legal Guardian is mandatory. Non submission of birth date may lead to rejection of the Application Form.

INSTRUCTIONS FOR FILLING UP THE CHILD CARE PLAN APPLICATION FORM

I. GENERAL INSTRUCTIONS

- a) The application form is for Resident Investors/NRIs/FIIs and should be completed in English in BLOCK Letters.
- b) The signature(s) of the Parent/Legal Guardian should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. In case of HUF, the Karta should sign on behalf of the HUF.

Signature mismatch cases: While processing the redemption / switch out request in case the AMC / Registrar come across a signature mismatch, then the AMC / Registrar reserves the right to process the redemption only on the basis of supporting documents confirming the identity of the investors. List of such documents would be notified by AMC from time to time on its website.

- c) The assignee of the insurance policy of the Parent/Legal Guardian need to have attained the age of majority as on the date of investment in the Scheme. A minor cannot be appointed as an assignee for the insurance policy.
- d) Parent/Legal Guardian who has completed the age of 70 years as on the date of the investments will not be covered under the insurance policy.
- e) Birth date of the Unitholder and Parent/Legal Guardian is mandatory. Non submission of birth date may lead to rejection of the Application Form.
- f) **Main Application Form** – For one time investment or the investment via SIP through PDCs, you need to fill in only the Main Application Form.
- g) The application form number, the scheme name and the name of the applicant should be mentioned on the reverse of the instrument that accompanies the application.
- h) The Application completed in all respects along with the cheque/demand draft must be submitted to the nearest Customer Service Center. Applications incomplete in any respect or not accompanied by a cheque or demand draft for the amount payable are liable to be rejected and the money paid will be refunded without interest.
- i) No receipt will be issued for the application money. The Customer Service Centres will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application.
- j) **Tax Status of the investor:** For all fresh purchases, in case the investor has not selected/incorrectly selected the tax status in the application form, the AMC shall update the tax status based on Permanent Account Number/Bank account details or such other information of the investor available with the AMC for the purpose of determining the tax status of the investor. The AMC shall not be responsible for any claims made by the investor/third party on account of updation of tax status.
- k) **Overwriting on application forms/transaction slips:** In case of corrections/overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips in case the investor(s) has(ve) not countersigned in each place(s) where such corrections/overwriting has(ve) been made.
- l) **Processing of Systematic Investment Plan (SIP) cancellation request(s):** The AMC will endeavour to have the cancellation of registered SIP mandate effected within 30 days from the date of acceptance of the cancellation request from the investor. The existing instructions/mandate will remain in force till such date that it is confirmed to have been cancelled.
- m) **Submission of separate forms/transaction slips of Systematic Withdrawal Plan (SWP)/ Systematic Transfer Plan (STP) facilities:** Investors who wish to opt for Systematic Withdrawal Plan/Systematic Transfer Plan facility have to submit their request(s) in a separate designated forms/transaction slips. In case, if AMC do not receive such requests in separate designated forms/transaction slips, it reserves the right to reject such request(s).
- n) As per the Regulations, the Fund shall despatch redemption proceeds within 10 Business days of receiving the redemption request. Investors are advised to refer to the sections titled "Suspension of sale and redemption of units" and "Right to limit Redemption". The default option for payment of redemption/dividend proceeds would be Direct Credit into their bank account (in case the investor has provided his bank mandate as one of the banks participating in direct credit arrangement and if he fails to specify the mode of payment).
- o) Where as a result of a redemption/switch arising out of excess holding by an investor beyond 25% of the net assets of the scheme in the manner envisaged under specified SEBI circulars, such redemption/switch will not be subject to exit load.

II. UNITHOLDERS INFORMATION

- a) **Existing Unitholders:** Investors already having an account in any of the ICICI Prudential schemes, and making investments in ICICI Prudential Child Care Plan, should provide their Folio Number in Step 1. The names of the applicants, mode of holding etc. will be as per the folio number provided. Existing unitholders may directly proceed to Step 4.
- b) **New Applicant**

1. Name and address must be given in full (P.O. Box Address is not sufficient). In case of NRI/PIO/FII investors an overseas address must be provided.
2. Name of guardian must be mentioned if the investments are being made on behalf of a minor. Date of Birth is mandatory in case of minor.

Investments of the existing minor investor on minor attaining majority: Upon attaining majority, a minor has to write to the fund, giving his specimen signature duly authenticated by his banker as well his new bank mandate, PAN details, UIN details (if applicable as per prevalent SEBI Guidelines) in order to facilitate the Fund to update its records and permit the erstwhile minor to operate the account in his own right.

3. In case of an application under Power of Attorney or by a limited company, body corporate, registered society, trust or partnership, etc. the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association / bye-laws must be lodged along with the application form.

Power of Attorney (POA): In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc. under his folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document to be

accepted as a valid document. At the time of making redemption/ switches the fund would not be in a position to process the transaction unless, POA holder's signature is available in the POA or proof of identity alongwith signature is produced along with the POA.

4. **PAN is mandatory:** As per SEBI Circular MRD/Dop/Cir/05/2007 dated April 27, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. July 02, 2007. PAN is mandatory for all mutual fund investments w.e.f. 1st January, 2008. Accordingly, any application not accompanied with the PAN is liable to be rejected. Please note that the PAN copy needs to be attested by any of the following: (a) At the Mutual Fund office (verification with original to be done by the person accepting the documents) (b) Your Financial Advisor (c) Your Bank Manager (d) Gazetted Officer (e) Notarized Copy (f) Judicial Authority.
5. Applicants should indicate their status by ticking the appropriate box. Applications without a tick in the 'Status' box will be considered as investment by "Others". Those who select the status as "Others", they should specify their status in the space provided."
6. Applicants should specify the mode of holding. In case it is not mentioned, the default will be "either or survivor". In the case of joint holders, the first named holder shall receive all the Account Statements, dividend/redemption/refund warrants and any other correspondence sent from time to time.
7. Name of a contact person should be mentioned in case of the investment by a Company/ Body Corporate/Partnership Firm/Trust/Foreign Institutional Investors (FIIs)/Society.

III. BANK DETAILS: The first Unitholder should provide the name of the bank branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular I/AMRP/MF/CIR/07/826/98 dated April 15, 1998. Applications without this information will be deemed to be incomplete.

Please quote 9 Digit Code No. of your Bank and Branch corresponding to Bank Account details. In case of At Par accounts, kindly provide the correct MICR number of the bank branch. (This number appears on every leaf of your cheque book after your cheque number). Please attach a blank "cancelled" Cheque or a clear photocopy of a cheque issued by your bank verifying of the Code No.

IV. INVESTMENT DETAILS

- a) Investor has to fill in a separate form for each Plan under the Scheme that he wishes to invest in.
- b) **Minimum Application Amount:**
For lump sum investment: Rs. 5,000/- and in multiples of Re. 1/-
Minimum additional amount: Rs. 1,000/- and in multiples thereof.
For Monthly SIP: Rs. 1,000/- + 5 post dated cheques for a minimum of Rs.1000/- each.
For Quarterly SIP: Rs. 5,000/- + 4 post-dated cheques for a minimum of Rs.5000/- each.

V. SYSTEMATIC INVESTMENT PLAN (SIP)

- a) Investor opting for SIP and wish to make payment through Post Dated Cheques (PDCs) needs to select the nature of payment and fill in the respective columns under the heading "Investment Details under ICICI Prudential Child Care Plan" in the Main Application Form. If the payment option is Auto Debit through ECS or Standing Instruction to the Bank, investor has to fill the respective form along with the main application form.
- b) The First SIP installment amount and the Second SIP installment amount need not be of the same amount. However, the Second and Subsequent SIP installments must be of the same amount.
- c) Issue post dated cheques dated either 7th or 10th or 15th or 25th of the month. A credit confirmation will be sent to the unitholder indicating the new balance to his or her credit in the account.
- d) SIP Auto Debit via ECS (Debit Clearing) is available at the following 81 centres:
• Agra • Ahmedabad • Allahabad • Amritsar • Asansol • Aurangabad • Bangalore • Baroda • Belgaum • Bhubaneswar • Bhopal • Bhubaneswar • Bikaner • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangere • Dehradun • Delhi • Dhanbad • Durgapur • Erode • Gadag • Gangtok • Gorakhpur • Gulbarga • Guwahati • Gwalior • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • Panjim • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Siliguri • Solapur • Surat • Tirupati • Tirupur • Trichur • Trichy • Trivandrum • Udaipur • Udupi • Varanasi • Vijayawada (also covers Guntur, Tenali & Mangalgiiri) • Vishakhapatnam.
- e) Existing investors opting for SIP need to provide their Folio Number along with SIP details.
- f) **SIP TOP UP Facility:** (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals. (b) The TOP UP amount has to be in multiples of Rs.500 only. (c) The frequency is fixed at Yearly and Half Yearly basis. In case the frequency is not selected, the TOP UP facility may not be registered. (d) In case of Quarterly SIP, only SIP TOP UP Yearly frequency is available.
- g) Investors subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP application.

The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received 30 days prior to the subsequent SIP date. The investor will cease to be a part of the SIP on receipt of the written request.

- h) **MICRO SIP:** Systematic Investment Plans (SIPs) where aggregate of installments in a rolling 12 month period or in a financial year i.e. April to March does not exceed Rs 50,000 (to be referred as "Micro SIP" hereinafter).

Micro SIP investors are required to submit any of the following PHOTO IDENTIFICATION documents along with Micro SIP applications as a proof of identification if the PAN is not provided:

INSTRUCTIONS FOR FILLING UP THE CHILD CARE PLAN APPLICATION FORM

(1) Voter Identity Card; (2) Driving License; (3) Government/Defense identification card; (4) Passport; (5) Photo Ration Card; (6) Photo Debit Card (Credit card not included because it may not be backed up by a bank account) (7) Employee ID cards issued by companies registered with Registrar of Companies (database available in the following link of Ministry of Company affairs <http://www.mca.gov.in/DCAPortalWeb/dca/MyMCALogin.do?method=setDefaultProperty&mode=31>); (8) Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament; (9) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks; (10) Senior Citizen / Freedom Fighter ID card issued by Government; (11) Cards issued by Universities / deemed Universities or institutes under statutes like ICAL, ICWA, ICSI; (12) Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL); (13) Any other photo ID card issued by Central Government/State Governments/Municipal authorities/Government organizations like ESIC/EPFO.

Investors (including joint holders) will submit a photocopy of any one of the above documents identified along with Micro SIP applications.

Supporting document should be current and valid and copy shall be self attested by the investor/attested by the ARN holder mentioning the ARN number.

Micro SIP application without the supporting document will liable to be rejected.

The following transactions will not be covered as they are currently not considered either for PAN or KYC requirement: (1) Redemption (2) Switch (3) Systematic Transfer Plans (4) Systematic Withdrawal Plans (5) Dividend Reinvestments / Sweep transactions.

This exemption will also not be applicable to normal purchase transactions upto Rs. 50,000 which will continue to be subject to PAN requirement.

This exemption will be applicable ONLY to investments by individuals (including NRIs but not PIOs), Minors, Sole proprietary firms and Joint holders. HUFs and other categories will not be eligible for Micro SIPs.

In case the first Micro SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future installments. No refunds to be made for the units already allotted. Investor will be sent a communication to this effect. However, redemptions shall be allowed.

VI. SYSTEMATIC TRANSFER PLAN (STP): Systematic Transfer Plan (STP) is an option where in the Unitholders of Study Plan can opt to transfer a fixed amount at regular intervals and provide standing instructions to the AMC to switch the same into the Gift Plan. No exit load will be charged on the amount transferred from Study Plan to Gift Plan.

VII. MODE OF PAYMENT

- The cheque/demand draft should be crossed "Account Payee Only" and drawn in favour of "ICICI Prudential Child Care Plan - Gift Plan" or "ICICI Prudential Child Care Plan - Study Plan". The Cheque/Demand Draft should be payable locally at the centre where the application is lodged.
- Separate Cheque/Draft is required for each Plan i.e. Gift Plan and Study Plan.
- Payments by Stock invest and outstation and/or postdated cheques will not be accepted. The Fund will bear the demand draft charges subject to maximum of Rs. 50,000/- per transaction for purchase of units by investors residing at location where the Asset Management Company (AMC's) Customer Service Centers/ Collection Centers are not located as mentioned in the table below:

Amount of Investment	Rate of Charges for Demand Draft(s)
Upto Rs.10,000/-	At actual, subject to a maximum of Rs. 50/-
Above Rs.10,000/- Maximum Charges	Rs. 3/- per Rs. 1000/- Rs. 50,000/-

AMC reserves the right to refuse bearing of demand draft charges, in case of investments made by the same applicant(s) through multiple applications at its own discretion which will be final and binding on the investor.

Investors residing at places other than where the AMC Customer Service Centers/ Collection Centers are located, are requested to make the payment by way of demand draft(s) after deducting charges as per the rates indicated in the above table. It may be noted that additional charges, if any, incurred by the investor over and above the levels indicated above will not be borne by the Fund.

No demand draft charges will be borne by the Fund for purchase of Units by investors residing at such locations where the Customer Service Centers/Collection Centers of the AMC are located.

The Trustee shall have absolute discretion to accept/reject any application for purchase of Units, if in the opinion of the Trustee, increasing the size of Scheme's Unit capital is not in the general interest of the Unitholders, or the Trustee for any other reason believes it would be in the best interest of the Schemes or its Unitholders to accept/reject such an application.

d) NRI/FII/PIO Investors

1. Repatriation basis:

Payments by NRIs/FIIs/Persons of Indian Origin residing abroad, may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels; or (ii) out of funds held in NRE/FCNR account payable at par and payable at the cities where the Customer Service Centres are located.

In case of Indian Rupee drafts purchased or cheques issued from NRE/ FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed.

In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.

2. Non Repatriation basis

NRIs or people of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques/demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centres are located.

3. For minor applicant, if the Parent/ Legal Guardian is non-resident in India and the beneficiary child is resident in India or vice versa the redemption proceeds will not be repatriated out side India. The said proceeds will be payable only to a non-repatriation bank account.

VIII. NOMINATION

- The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form. Space is provided as a specimen, if there are more joint holders more sheets can be added for signatures of holders of units and witnesses.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands rescinded upon the transfer of units.
- Transfer of units in favour of a Nominee shall be valid discharge by the asset management company against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
- On cancellation of the nomination, the nomination shall stand rescinded and the asset management company shall not be under any obligation to transfer the units in favour of the Nominee."
- At the time when the unitholder is still a minor, the parent/legal guardian can change the nominee by submitting the necessary documents and that the latest application would override all other nominations made in the past.

IX. DIRECT CREDIT OF DIVIDEND/REDEMPTION: ICICI Prudential AMC had entered into an arrangement with certain banks such as ICICI Bank, HDFC Bank, Citibank N.A. and HSBC for direct credit of redemption and dividend proceeds, if investors have a bank mandate with any of these banks. However, the Fund reserves a right to issue a payment instrument in place of this direct credit facility, and will not be responsible for any delay on the part of the bank for executing the direct credit. The AMC may alter the list of the banks participating in direct credit arrangement from time to time/ withdraw direct credit facility from the banks, based on its experience of dealing with any of such banks or add / withdraw the name of the bank with whom direct credit facility arrangements can be introduced/ discontinued as the case may be.

X. COMMUNICATION VIA ELECTRONIC MAIL (E-MAIL): It is hereby notified that wherever the investor(s) has/have provided his/their e-mail address in the application form or any subsequent communication in any of the folio belonging to the investor(s), the Fund/Asset Management Company reserves the right to use Electronic Mail (e-mail) as a default mode to send various communication which include account statements for transactions done by the investor(s). The investor(s) may request for a physical account statement by writing or calling the Fund's Investor Service Centre/ Registrar & Transfer Agent. In case of specific request received from investor(s), the Fund shall endeavour to provide the account statement to the investor(s) within 5 working days from the receipt of such request. The Fund shall comply with SEBI Circular No. IMD/CIR/12/80083/2006 dated November 20, 2006 with respect to despatch of the account statement.

XI. KNOW YOUR CUSTOMER (KYC) NORMS: With effect from February 1, 2008 Investors in Mutual Funds investing Rs. 50,000/- and above are required to comply with Know Your Client (KYC) norms under the Prevention of Money Laundering Act 2002 (PMLA). Copy of KYC acknowledgement is mandatory. Please refer AMFI's or AMC's website (www.amfiindia.com or www.icicipruamc.com) for details.